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PTO Form 1480 (Rev 09/2006)

OMB No. 0651-0009 (Exp. 02/28/2018)

## Certification Mark Form, Principal Register

### Version 5.4

**NOTE: For an instructional video providing an overview of the most important issues you should be aware of when filing a trademark application, [click here](#).**

#### To file the application electronically, please complete the following steps:

1. Answer the first question below to create an application form showing only sections relevant to your specific filing.
2. For help at any point, click on any underlined word on any page.
3. After answering the first wizard question, click on the CONTINUE button at bottom of the page.
4. Once in the actual form, complete all fields with a \* symbol, since they are mandatory fields for TEAS filing purposes.
5. Validate the form, using the "Validate" button at the end of the form. If there are errors, return to the form to make the correction. A "Warning" may be corrected or by-passed.
6. Double-check all entries through the links displayed on the Validation page.
7. You may save your work for submission at a later time by clicking on the Download Portable Data button at the bottom of the Validation page.
8. When ready to file, use the Pay/Submit button at the bottom of the Validation page. This will allow you to choose from three (3) different payment methods: credit card, automated deposit account, or electronic funds transfer.
9. After accessing the proper screen for payment, and making the appropriate entries, you will receive a confirmation screen if your transmission is successful. This screen will say SUCCESS! and will provide your assigned serial number.
10. You will receive an e-mail acknowledging receipt of your submission, which will include the assigned serial number and provide a summary of your submission.

**Once you submit this application, we will not cancel the filing or refund your fee. The fee is a processing fee, which we do not refund even if we cannot issue a registration after our substantive review.**

**Important:** ONCE YOU SUBMIT AN APPLICATION ELECTRONICALLY, THE USPTO WILL IMMEDIATELY ISSUE AN ELECTRONIC ACKNOWLEDGMENT OF RECEIPT. Please contact [TEAS@uspto.gov](mailto:TEAS@uspto.gov) if you do not receive this acknowledgment within 24 hours of transmission.

#### Contact Points:

- **General trademark information:** Please review the information posted at [Where Do I Start](#). If you have remaining questions, e-mail [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov), or telephone 1-800-786-9199.
- **Help:** For instructions on how to *use* the electronic forms, or help in resolving *technical* glitches, please e-mail [TEAS@uspto.gov](mailto:TEAS@uspto.gov). Please include your telephone number in your e-mail, so we can talk to you directly, if

necessary. Also, include the relevant serial number or registration number, if existing.

**NOTE:** The TEAS Support Team focuses on problems related to the process of completing the electronic forms, **not** on what information would be correct for entry within a form, or other broader trademark issues. Please route those types of inquiries to the Trademark Assistance Center. However, please be aware that neither group can provide any sort of information in the nature of "legal advice." For legal advice, please consider contacting an attorney who specializes in intellectual property.

- **Bug Report:** If you think there is a "bug" within one of the electronic forms, please click [Bug Report](#).
- **Status Information:** For an application with an assigned serial number, check the [Trademark Status & Document Retrieval \(TSDR\)](#) system to view current status information, as well as the complete prosecution history. Do **not** attempt to check the status of a filing until at least 7-10 days after submission of the filing, to allow sufficient time for all USPTO databases to be updated. You can view **all** items listed in the prosecution history section online via the [TSDR](#) system, including all Office actions sent by the USPTO.

**WARNING:** This form has a session time limit of 60 minutes. Your "session" began as soon as you accessed this initial Form Wizard page. If you exceed the 60-minute time limit, the form will not validate and you must begin the entire process again; you can, however, [extend the time limit](#). You should always try to have all information required to complete the form prior to starting any session.

## 1. Please select one of the filing options below.

**TEAS Reduced Fee (TEAS RF):** I am filing a TEAS RF application, with a reduced fee of **\$275 per class** of goods/services. **TEAS RF Requirements:** (1) Provide a valid e-mail address; (2) authorize the USPTO to send e-mail correspondence concerning the application; and (3) agree to use the Trademark Electronic Application System when filing any [relevant application-related submissions](#). Failure to comply with these requirements will incur an additional processing fee of \$50 per class of goods/services.

**TEAS Regular:** I am filing a TEAS Regular application, with a fee of **\$325 per class** of goods/services. TEAS Regular applicants are strongly encouraged, although not required, to authorize e-mail correspondence and use the Trademark Electronic Application System for subsequent application-related submissions.

## 2. Is an [attorney](#) filing this application?

Yes    No

3. [OPTIONAL] To access **previously-saved data**, use the "Browse/Choose File" button below to access the file from your local drive. **NOTE: For specific instructions, please click [here](#). FAILURE TO FOLLOW THESE NEW INSTRUCTIONS WILL RESULT IN THE DISPLAY OF YOUR DATA IN AN XML FORMAT THAT CANNOT BE EDITED.** **NOTE: Do NOT attempt to use the button below to upload an image file (for example, a specimen). You must use the button that will be presented for that purpose *within the proper section of the actual form*.**

no file selected



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PTO Form 1480 (Rev 09/2006)

OMB No. 0651-0009 (Exp. 02/28/2018)

## Certification Mark Form, Principal Register

### Version 5.4

**NOTE:** This identifies who owns the mark, not necessarily who is filing the application. For an instructional video focusing on what is meant by the term "applicant," [click here](#).

**NOTE:** For an instructional video explaining how to fill out the Applicant Information page, [click here](#).

#### Applicant Information

**Note:** If there is more than one owner of the mark, complete the information for the first owner, and then click on the "Add Owner" button at the bottom of this page. Repeat, as necessary, for the appropriate listing of all owners. **Warning:** It is important to determine whether, in fact, the applicants are [joint applicants](#), or some other entity type listed below.

* <a href="#">Owner of Mark</a>	[If an individual, use the following format: Last Name, First Name Middle Initial or Name, if applicable]
DBA (doing business as)    AKA (also known as) TA (trading as)    Formerly	
<a href="#">Entity Type</a> <a href="#">Individual</a> <a href="#">Corporation</a> <a href="#">Limited Liability Company</a> <a href="#">Partnership</a> <a href="#">Limited Partnership</a> <a href="#">Joint Venture</a> <a href="#">Sole Proprietorship</a> <a href="#">Trust</a> <a href="#">Estate</a> <a href="#">Other</a>	<== Click the appropriate circle on the left to indicate the applicant's entity type. The form will then display the field(s) for entering information corresponding to that specific entity type. If your entity type is not one of the options displayed directly to the left, you must click on "Other" and then select the appropriate entry from the relevant pull-down box.
<a href="#">Internal Address</a>	
* <a href="#">Street Address</a>	<b>NOTE:</b> You must limit your entry here, and for all remaining fields within this overall section (except City, see <i>below</i> ), to no more than 40 characters (the storage limit for the USPTO database). You may need to abbreviate some words, e.g., St. instead of Street. Failure to do so may result in an undeliverable address, due to truncation at the 40 character limit.
* <a href="#">City</a>	<b>NOTE:</b> You must limit your entry here to no more than 22 characters.
* <a href="#">State</a> (Required for U.S. applicants)	Select State <b>NOTE:</b> You must include as part of the "City" entry any information related to geographical regions (e.g., provinces) not found in the dropdown lists for "States" or "Countries." Enter the city and then the geographical region, separated by a comma (e.g., Toronto, Ontario). In most instances, you will

	then also have to select the country within which the region is found, below.
* <a href="#">Country or U.S. Territory</a>	Select Country or U.S. Territory
* <a href="#">Zip/Postal Code</a> (Required for U.S. applicants)	
<a href="#">Phone Number</a>	
<a href="#">Fax Number</a>	
<a href="#">Internet E-mail Address</a>	 <p>While the application may list an e-mail address for the applicant, only the e-mail address of the applicant's attorney or domestic representative will be used for actual correspondence purpose, in accordance with <a href="#">USPTO policy</a>.</p>
<a href="#">Website address</a>	

[Burden/Privacy Statement](#) | [TEAS Form Burden Statement](#)

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## Certification Mark Form, Principal Register

### Version 5.4

#### Mark Information

Before the USPTO can register your mark, exactly what the mark is must be clear. You may present your [mark](#) in one of the following ways: (1) [standard characters](#), if not claiming a particular font, style, size, and/or color; (2) [special form](#), if the mark includes a design or word(s) combined with a design, or **is** displayed in a particular font, style, size, and/or color; or (3) non-visual sound mark (for this type of mark, you should include a musical score, and an audio file, as well as a detailed mark description). Do **not** upload your specimen of use (sample of actual use, e.g., a label or advertisement) here. This will be required in a different part of the form (if appropriate for your filing basis). **WARNING:** You may submit only **one** mark per application, if your application includes multiple marks, it may be denied a filing date or refused registration.

When you click on one of the three circles presented below, and follow the specific instructions, the form will automatically create a separate page that displays the mark for which you are applying or permits the applicant to attach an audio file for a sound mark. Please carefully review this page prior to final submission to the USPTO, to ensure that it accurately depicts or represents your mark. You may not be permitted to [change or correct your mark](#) after filing this application. While minor changes in the mark may *sometimes* be permitted, changes that result in a [material alteration](#) of the mark as originally filed will result in the USPTO issuing a refusal on that ground.

**WARNING:** AFTER YOU FINISH [SEARCHING](#) THE USPTO DATABASE FOR POTENTIALLY CONFLICTING MARKS, EVEN IF YOU THINK THERE ARE NO CONFLICTING MARKS, DO NOT ASSUME THAT YOUR MARK WILL AUTOMATICALLY REGISTER. AFTER YOU FILE THE APPLICATION, THE USPTO WILL CONDUCT ITS OWN DATABASE SEARCH AND COMPLEX LEGAL REVIEW, AND MAY [REFUSE TO REGISTER](#) YOUR MARK ON THAT OR ANOTHER BASIS.

**NOTE:** For an instructional video on the importance of conducting a search of existing trademarks or service marks before filing your application, [click here](#).

\* Click the appropriate circle to indicate the Mark type: [Standard Characters](#) [Special Form \(Stylized and/or Design\)](#) [Sound](#)  
[mark](#)

**NOTE:** For an instructional video on the importance of selecting the proper mark type, [click here](#).

**NOTE:** For an instructional video explaining how to fill out the Mark Information page, [click here](#).

Enter the mark here: (Note: The entry can be in capital letters, lower case letters, or a combination thereof. Do **not** include the <sup>TM</sup>, <sup>SM</sup>, ®, or © symbols after the mark entry, because they are **not** part of the actual mark. If using Internet Explorer, the entry cannot exceed **2036** characters; otherwise, you must switch to another browser.)

**NOTE:** For how the USPTO determines what the display of the entered mark will be, click [here](#).

**NOTE:** For information about mark display in USPTO databases, click [here](#)

The "Additional Statement" section of this form is used to enter various legal or informational statement(s) that may pertain to the mark; for example, a disclaimer or English translation. You are not required to enter any of these statement(s) at the time of filing; however, you may be required to add a statement(s) to the record during examination of the application. If you are unsure whether you should make such a statement, the examining attorney assigned to your application will issue a requirement, if appropriate.

Check here to display the full listing of additional statements from which you may make your selection.

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**WARNING:** You may submit only **one** mark per application, if your application includes multiple marks, it may be denied a filing date or refused registration.

When you click on one of the three circles presented below, and follow the specific instructions, the form will automatically create a separate page that displays the mark for which you are applying or permits the applicant to attach an audio file for a sound mark. Please carefully review this page prior to final submission to the USPTO, to ensure that it accurately depicts or represents your mark. You may not be permitted to [change or correct your mark](#) after filing this application. While minor changes in the mark may *sometimes* be permitted, changes that result in a [material alteration](#) of the mark as originally filed will result in the USPTO issuing a refusal on that ground.

**WARNING:** AFTER YOU FINISH [SEARCHING](#) THE USPTO DATABASE FOR POTENTIALLY CONFLICTING MARKS, EVEN IF YOU THINK THERE ARE NO CONFLICTING MARKS, DO NOT ASSUME THAT YOUR MARK WILL AUTOMATICALLY REGISTER. AFTER YOU FILE THE APPLICATION, THE USPTO WILL CONDUCT ITS OWN DATABASE SEARCH AND COMPLEX LEGAL REVIEW, AND MAY [REFUSE TO REGISTER](#) YOUR MARK ON THAT OR ANOTHER BASIS.

**NOTE:** For an instructional video on the importance of conducting a search of existing trademarks or service marks before filing your application, [click here](#).

\* Click the appropriate circle to indicate the Mark type:

[Standard Characters](#)

[Special Form \(Stylized and/or Design\)](#)

[Sound mark](#)

**NOTE:** For an instructional video on the importance of selecting the proper mark type, [click here](#).

**NOTE:** For an instructional video explaining how to fill out the Mark Information page, [click here](#).

Click on the "Browse/Choose File" button to select a [properly-sized JPG image file](#) (the only accepted format) from your local drive. This image should show the mark exactly as you would wish the mark to appear on your registration certificate, if the mark registers. If you are claiming color, you **must** submit a color image; otherwise, the image must be clear [black and white](#). After the file name appears in the window, click on the "Attach" button to upload the file into the application. A "thumbnail" version of the image will then display directly within the form.

**NOTE:** The image files for, respectively, the mark and the specimen (if filing under Section 1(a), use in commerce, and showing

actual use in commerce of the mark at the time of this filing) should **NOT** be the same files (or, even if different files, should **not** display essentially the exact same thing). The mark image file should **ONLY** show the mark by itself, and **not** a representation of how the mark is used on the overall packaging for the goods or within an advertisement for services, for example. On the other hand, an image file that shows the complete package for the goods or a full advertisement for the services, with the mark clearly displayed thereon or within, would be an appropriate attachment for a specimen in the later "basis" section of the form (which only appears where a Section 1(a) filing basis is being claimed).

no file selected

For any image that also includes a word(s), letter(s), punctuation, and/or number(s), enter the [LITERAL ELEMENT](#) only of the mark here:

**NOTE:** Do **NOT** enter any word(s), letter(s), punctuation, and/or number(s) that do not appear in the attached image file. Leave this space blank if your mark consists only of design elements. The image file **must** include **all** elements of the mark; *i.e.*, if your mark consists of a design and word(s), letter(s), punctuation, and/or number(s), the image file must include all of these elements. Any entry in the [literal element](#) field that is not found in the attached image file will **not** be considered part of the mark.

\* If claiming color as a feature of the mark, list the colors below, including black and/or white if actual "colors" within the mark (*e.g.*, enter red, white, and blue). Begin the entry with a lower-case, **NOT** an upper-case, letter. (Entry required for color marks only.)

The color(s) \_\_\_\_\_ is/are claimed as a feature of the mark.

Check this box if you are **NOT** claiming color as a feature of the mark. **NOTE: Check *only* if you believe your image is black and white, yet you received after clicking the "CONTINUE" button a WARNING about color within the mark (perhaps because the image consists of too much grayscale); otherwise, do **not** check this box, because the attached image was automatically accepted as black and white.**

\* Enter a complete and accurate [description](#) of the entire mark below, being sure to include **ALL literal elements** and/or design elements that are found in the attached mark image, but **NOT** including any element not appearing in the image. If a color mark, you must specify the color(s) that are part of the mark, including black and white, and also state the location thereof in the mark image.

**The mark consists of: (do NOT repeat this language)**

**. (end period is automatic)**

**NOTE:** A description of the mark is required for **ALL** marks that are in a special form or a sound/motion mark (*i.e.*, for any mark not in standard characters). You must enter a description even if what the mark represents is immediately clear, *e.g.*, "the letter C." Also, for any color mark, the description of the mark must include the nature and location of the color; *i.e.*, you must specifically state where each color is located within the mark, *e.g.*, "a bird with a red body, blue wings, and yellow beak."

**NOTE:** Do **NOT** include as part of the description either the words "The mark consists of" or a final period, because that introductory wording and the punctuation will automatically be added after validation; otherwise, the overall description will have improper repetitions. Also, begin the entry with a lower-case, **NOT** an upper-case, letter.

The "Additional Statement" section of this form is used to enter various legal or informational statement(s) that may pertain to the mark; for example, a disclaimer or English translation. You are not required to enter any of these statement(s) at the time of filing; however, you may be required to add a statement(s) to the record during examination of the application. If you are unsure whether you should make such a statement, the examining attorney assigned to your application will issue a requirement, if appropriate.

Check here to display the full listing of additional statements from which you may make your selection.

[Additional Statement](#)

To select a statement, enter any required information specific to your mark (or, for some statements, check the box in front of the statement). If, after making a selection, you realize that no statement is needed, you must click on the box that produced this section of the form to "uncheck" it, and the entire "Additional Statement" section below will be removed.

**NOTE:** For an instructional video on the Additional Statements section of the form, [click here](#).

**WARNING:** Additional statements are not commonly used and are for special circumstances that only exist in certain applications. Selecting items that do not apply may delay the processing of your application. NOTE: As a general rule, for disclaimers, translations and transliterations, consents, or an explanation of the mark's significance, include only words that are in the mark within quotation marks in the text boxes below.

**DISCLAIMER:** No claim is made to the exclusive right to use \_\_\_\_\_ apart from the mark as shown.

**STIPPLING AS A FEATURE OF THE MARK:** The stippling is a feature of the mark and does not indicate color.

**STIPPLING FOR SHADING:** The stippling is for shading purposes only.

**ACTIVE PRIOR REGISTRATION(S):** The applicant claims ownership of active prior U.S. Registration Number(s) \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_.

**NOTE:** Entry must not include any commas, and must be 7 numerals long (if necessary, add leading 0's to number, e.g., 0086417).

and others: Check here to indicate there are additional active prior U.S. Registration Number(s).

**TRANSLATION:**

The English translation of \_\_\_\_\_ in the mark is \_\_\_\_\_.

The wording \_\_\_\_\_ has no meaning in a foreign language.

**TRANSLITERATION:** (NOTE: Not required for *any* standard character marks.)

The non-Latin characters in the mark transliterate to \_\_\_\_\_ and this means \_\_\_\_\_ in English.

The non-Latin characters in the mark transliterate to \_\_\_\_\_ and this has no meaning in a foreign language.

**MEANING OR SIGNIFICANCE OF WORDING, LETTER(S), OR NUMERAL(S):**

\_\_\_\_\_ appearing in the mark means or signifies or is a term of art for \_\_\_\_\_ in the relevant trade or industry or as used in connection with the goods/services listed in the application.

\_\_\_\_\_ appearing in the mark has no significance nor is it a term of art in the relevant trade or industry or as used in connection with the goods/services listed in the application, or any geographical significance.

The word(s) \_\_\_\_\_ has no meaning in a foreign language.

**INDICATE THE NATURE OF THE §2(f) CLAIM OF ACQUIRED DISTINCTIVENESS**

**§2(f) Whole**      **§2(f) In Part**

**NAME(S), PORTRAIT(S), SIGNATURE(S) OF INDIVIDUAL(S):**

The name(s), portrait(s), and/or signature(s) shown in the mark identifies \_\_\_\_\_, whose consent(s) to register is made of record.

The name(s), portrait(s), and/or signature(s) shown in the mark does not identify a particular living individual.

**USE OF THE MARK IN ANOTHER FORM:** The mark was first used anywhere in a different form other than that sought to be registered at least as early as \_\_\_\_\_, and in commerce at least as early as \_\_\_\_\_.

NOTE: If the use in another form claim does not relate to all classes in a multiple-class application, specify within the miscellaneous statement section, below, the exact class(es) the claim covers.

**CONCURRENT USE:** Enter the [appropriate concurrent use information](#) in the text box immediately below.

WARNING: To assert concurrent use, you **must:** (1) have assigned a Section 1(a) filing basis, which indicates you are using, or, if appropriate, exercising legitimate control over the use of, the mark in commerce; AND (2) (i) intend

to initiate a legal proceeding before the Trademark Trial and Appeal Board or have obtained a Board determination from a prior proceeding establishing that you are entitled to a concurrent registration; or (ii) have obtained a final court determination establishing your concurrent right to use the same or similar mark in commerce in a limited geographic area.

**MISCELLANEOUS STATEMENT**: Enter information for which no other section of the form is appropriate.

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### Goods/Services Information

**Instructions:**

**Step 1:** Click on the "Add Goods/Services" button.

**Step 2:** After creating the complete list of goods/services for this application, you will then be able to designate the filing basis (or bases) appropriate for each listed item.

**NOTE:** For an instructional video on goods and services and the importance of making the proper selection, [click here](#).

**NOTE:** For an instructional video explaining how to fill out the Goods and Services page, [click here](#).

**NOTE:** Clicking "Go Back" will take you directly back to the MARK section of the form.

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## Certification Mark Form, Principal Register

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#### Basis for Filing

**NOTE: For an instructional video on what is meant by "basis for filing," [click here](#).**  
**NOTE: For an instructional video explaining how to fill out the Filing Basis page, [click here](#).**

The applicant requests that the Certification mark identified on the preceding page be registered with the USPTO on the Principal Register for the class(es) and goods/services displayed below, and asserts herein the specific basis(es) that covers the listed goods/services.

#### **Instructions for assigning filing basis(es):**

After selecting a class number (if known) and entering the goods/services listing for that class, you may assign a specific filing basis, or if appropriate, multiple bases. For an explanation of the possible filing basis(es), as identified by the 4 buttons beneath the listing of goods/services, click [here](#).

For complete step-by-step instructions on how to correctly assign the filing basis(es), click on the heading that corresponds to the relevant factual scenario, *see below*. For examples of filings corresponding to each of the heading descriptions, click on the link "*Examples*."

- [One class or multiple classes, with ONE filing basis for ALL listed items \*Examples\*](#)  
 NOTE: This is the most common choice. The following are other options, but they are much less common:
- [One class or multiple classes, with same multiple filing bases for ALL listed items in class\(es\) \*Examples\*](#)
- [One class, with different filing basis\(es\) for different goods/services within the same class \*Examples\*](#)
- [Multiple classes, with different filing basis\(es\) for different overall classes \*Examples\*](#)

**NOTE: For an instructional video on goods and services and the importance of making the proper selection, [click here](#).**  
**NOTE: For an instructional video explaining how to fill out the Goods and Services page, [click here](#).**

Click on the above button to create the next class. For more instructions, click [here](#).

Click on this button to remove a class entirely. For more instructions, click [here](#).

Select All	<a href="#">International Class</a>	* Goods/Services	Assigned Filing Basis(es)
Unknown <small>If known, select class number A or B</small>		<p><b>Instructions:</b>                      Enter the <a href="#">common commercial name</a> for the specific goods/services associated with your mark. Failure to list your goods/services accurately could prevent registration. Separate each specific item with a semicolon or comma.</p> <p>ALSO:</p> <ul style="list-style-type: none"> <li>◦ Do not enter a Class Number/Letter in the text box below.</li> <li>◦ Do not include html or other programming code or language that may create embedded links.</li> <li>◦ Do not use abbreviations, brackets ([ ]), or braces ( { } ).</li> </ul> <p>You should review the <a href="#">USPTO Goods/Services ID Manual</a> (ID Manual) before entering items below. You are not required to use an entry from the ID Manual; however, if your entry is not from the ID Manual, you must describe your goods/services in specific, clear, and concise terms.</p>	

**NOTE: The 4 BUTTONS below identify the choices of filing basis to be assigned to the items listed in the table, *above*. For an explanation of each basis, click [here](#). Because assignment of the correct basis to each item is critical, please read the explanations if you have *any* questions**

as to which basis(es) to select, before clicking the button(s), *below*, to begin the assignment of the basis(es). **Since assignment of a filing basis is not a requirement within this form, you can by-pass this step by clicking on the Continue button, *below*, if necessary.**

**WARNING: Registration Subject to Cancellation for Fraudulent Statements**

You must ensure that statements made in filings to the USPTO are accurate, as inaccuracies may result in the cancellation of a trademark registration. The lack of a bona fide intention to use the mark with **all** goods/services included in an application, or the lack of use on **all** goods/services for which you claim use, could jeopardize the validity of the registration and result in its cancellation.

Actually using mark in commerce now

No use of mark yet, intending to use

Foreign application exists for same goods/services

Foreign registration exists for same goods/services

**CERTIFICATION**

Enter into the "Certification Statement" field below, a statement specifying what the applicant is certifying about the goods/services in the application; i.e., the characteristic(s), standard(s), or other feature(s) of the goods/services that is certified or intended to be certified by the mark. The following format is suggested: The certification mark, as used or intended to be used by persons authorized by the certifier, certifies or is intended to certify that the goods/services provided have \_\_\_\_\_ {^<specify>}.

\* [Certification Statement](#)

NOTE: Clicking "Go Back" will take you directly back to the MARK section of the form.

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 PTO Form 1480 (Rev 09/2006)  
 OMB No. 0651-0009 (Exp. 02/28/2018)

## Certification Mark Form, Principal Register

### Version 5.4

#### Basis for Filing

**NOTE: For an instructional video on what is meant by "basis for filing," [click here](#).**  
**NOTE: For an instructional video explaining how to fill out the Filing Basis page, [click here](#).**

The applicant requests that the Certification mark identified on the preceding page be registered with the USPTO on the Principal Register for the class(es) and goods/services displayed below, and asserts herein the specific basis(es) that covers the listed goods/services.

#### Instructions for assigning filing basis(es):

After selecting a class number (if known) and entering the goods/services listing for that class, you may assign a specific filing basis, or if appropriate, multiple bases. For an explanation of the possible filing basis(es), as identified by the 4 buttons beneath the listing of goods/services, click [here](#).

For complete step-by-step instructions on how to correctly assign the filing basis(es), click on the heading that corresponds to the relevant factual scenario, *see below*. For examples of filings corresponding to each of the heading descriptions, click on the link "*Examples*."

- [One class or multiple classes, with ONE filing basis for ALL listed items \*Examples\*](#)  
 NOTE: This is the most common choice. The following are other options, but they are much less common:
- [One class or multiple classes, with same multiple filing bases for ALL listed items in class\(es\) \*Examples\*](#)
- [One class, with different filing basis\(es\) for different goods/services within the same class \*Examples\*](#)
- [Multiple classes, with different filing basis\(es\) for different overall classes \*Examples\*](#)

**NOTE: For an instructional video on goods and services and the importance of making the proper selection, [click here](#).**  
**NOTE: For an instructional video explaining how to fill out the Goods and Services page, [click here](#).**

Click on the above button to create the next class. For more instructions, click [here](#).

Click on this button to remove a class entirely. For more instructions, click [here](#).

Select All	<a href="#">International Class</a>	* Goods/Services	Assigned Filing Basis(es)
A	If known, select class number A or B	<p><b>Instructions:</b>                      Enter the <a href="#">common commercial name</a> for the specific goods/services associated with your mark. Failure to list your goods/services accurately could prevent registration. Separate each specific item with a semicolon or comma.</p> <p>ALSO:</p> <ul style="list-style-type: none"> <li>◦ Do not enter a Class Number/Letter in the text box below.</li> <li>◦ Do not include html or other programming code or language that may create embedded links.</li> <li>◦ Do not use abbreviations, brackets ([ ]), or braces ( { } ).</li> </ul> <p>You should review the <a href="#">USPTO Goods/Services ID Manual</a> (ID Manual) before entering items below. You are not required to use an entry from the ID Manual; however, if your entry is not from the ID Manual, you must describe your goods/services in specific, clear, and concise terms.</p>	

**Section 1(b)**, Intent to Use: The applicant has a bona fide intention, and is entitled, to exercise legitimate control over the use of the certification mark in commerce by its authorized users on or in connection with the identified goods/services. The applicant will not engage in the production or marketing of the

goods/services to which the mark is applied, except to advertise or promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant. The applicant will later provide with the filing of an Allegation of Use (Amendment to Alleged Use/Statement of Use) a copy of the standards governing the use of the certification mark on or in connection with the goods/services in the application. **NOTE: More than one basis may be selected, but do NOT claim both Sections 1(a) and 1(b) for the identical goods/services in one application. If claiming a Section 1(a) basis, it is NOT necessary or appropriate also to claim a Section 1(b) basis for the same goods/services, simply to indicate an intent to continue exercising legitimate control over use of the certification mark in commerce by authorized users using the mark for those goods/services - the Section 1(a) basis covers this.**

I understand that the selection of Section 1(b) "Intent to Use" as the filing basis requires that before the mark can register, I must: (1) begin exercising legitimate control over the use of the mark in commerce by authorized users; and (2) file an Allegation of Use that includes a **specimen** and an **additional fee**. Furthermore, I understand that even if the application has already been approved, examination of the Allegation of Use may result in the examining attorney issuing a refusal.

**WARNING: If you select this option, additional filing(s) and fee(s) will be required when you begin use of the mark in commerce to receive a registration. For more information, [click here](#) (see first and second forms on linked page).**

Actually using mark in commerce now

Foreign application exists for same goods/services

Foreign registration exists for same goods/services

### CERTIFICATION

Enter into the "Certification Statement" field below, a statement specifying what the applicant is certifying about the goods/services in the application; i.e., the characteristic(s), standard(s), or other feature(s) of the goods/services that is certified or intended to be certified by the mark. The following format is suggested: The certification mark, as used or intended to be used by persons authorized by the certifier, certifies or is intended to certify that the goods/services provided have \_\_\_\_\_ {^<specify>}

\* [Certification Statement](#)

**NOTE:** To assign the selected filing basis, click on "Assign Filing Basis" button, *below*. If you selected the wrong basis, click on the "Remove this [basis]" button, *above*, and start over. To assign multiple bases, click on another basis button and complete the section (and repeat process again, if appropriate) before clicking on the "Assign Filing Basis" button.

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## Certification Mark Form, Principal Register

### Version 5.4

Yes, I would like to file a TEAS RF application.

Check here if an attorney is filing this form on behalf of the applicant(s). If an attorney is not filing, simply click on the box if currently checked to "uncheck" that box.

Check here if the applicant wants to appoint a Domestic Representative. A Domestic Representative is OPTIONAL if the applicant's address is outside the United States. Once checked, a separate section of the form will appear to enter the Domestic Representative information.

#### Attorney Information

<b>* <a href="#">Correspondent Attorney Name</a></b>	
<b><a href="#">Individual Attorney Docket/Reference Number</a></b>	
<b><a href="#">Other Appointed Attorney(s)</a></b>	
<b><a href="#">Firm Name</a></b>	
<b><a href="#">Internal Address</a></b>	
<b>* <a href="#">Street Address</a></b>	<b>NOTE:</b> You must limit your entry here, and for all remaining fields within this overall section (except City, see <i>below</i> ), to no more than 40 characters (the storage limit for the USPTO database). You may need to abbreviate some words, e.g., St. instead of Street. Failure to do so may result in an undeliverable address, due to truncation at the 40 character limit.
<b>* <a href="#">City</a></b>	<b>NOTE:</b> You must limit your entry here to no more than 22 characters.
<b>* <a href="#">State</a> (Required for U.S. addresses)</b>	Select State <b>NOTE:</b> You must include as part of the "City" entry any information related to geographical regions (e.g., provinces) not found in the dropdown lists for "States" or "Countries." Enter the city and then the geographical region, separated by a comma (e.g., Toronto, Ontario). In most instances, you will then also have to select the country within which the region is found, below.
<b>* <a href="#">Country or U.S. Territory</a></b>	Select Country or U.S. Territory
<b>* <a href="#">Zip/Postal Code</a> (Required for U.S. addresses)</b>	
<b><a href="#">Phone Number</a></b>	

<p><a href="#"><u>Fax Number</u></a></p>	
<p><a href="#"><u>Internet E-mail Address</u></a></p>	<p>An e-mail address for communication with the appointed attorney must be provided. The attorney must keep this address current in the USPTO 's records. Specific authorization for this communication may be made, <i>below</i>.</p> <p>Check here to <a href="#"><u>authorize</u></a> the USPTO to communicate with the appointed attorney via e-mail. (Informal communication is permissible without authorization.)</p> <p><b>NOTE:</b> By checking this box, the appointed attorney acknowledges that it is solely responsible for receipt of USPTO documents sent via e-mail. The appointed attorney should periodically check the status of the application through the <a href="#"><u>TSDR</u></a> system, to see if the assigned examining attorney has e-mailed an Office action. If an action has been sent to the provided e-mail address, the USPTO is not responsible for any e-mail not received due to the applicant's, the applicant's appointed attorney's, or the applicant's domestic representative's security or anti-spam software, or any problems within the applicant's, the applicant's appointed attorney's, or the applicant's domestic representative's e-mail system. All sent actions can be viewed on-line, via the <a href="#"><u>TSDR</u></a> system.</p>

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## Certification Mark Form, Principal Register

### Version 5.4

#### Correspondence Information

**NOTE:** For an instructional video on the correspondence information section, [click here](#).

**Note:** Where an attorney or domestic representative has been appointed, the USPTO will correspond ONLY with the listed appointment. Do NOT attempt to change the correspondence address to the owner's address.

<b>* <a href="#">Name</a></b>	
<b><a href="#">Firm Name</a></b>	
<b><a href="#">Internal Address</a></b>	
<b>* <a href="#">Street Address</a></b>	<b>NOTE:</b> You must limit your entry here, and for all remaining fields within this overall section (except City, see <i>below</i> ), to no more than 40 characters (the storage limit for the USPTO database). You may need to abbreviate some words, e.g., St. instead of Street. Failure to do so may result in an undeliverable address, due to truncation at the 40 character limit.
<b>* <a href="#">City</a></b>	<b>NOTE:</b> You must limit your entry here to no more than 22 characters.
<b>* <a href="#">State</a> (Required for U.S. addresses)</b>	Massachusetts <b>NOTE:</b> You must include as part of the "City" entry any information related to geographical regions (e.g., provinces) not found in the dropdown lists for "States" or "Countries." Enter the city and then the geographical region, separated by a comma (e.g., Toronto, Ontario). In most instances, you will then also have to select the country within which the region is found, below.
<b>* <a href="#">Country or U.S. Territory</a></b>	United States
<b>* <a href="#">Zip/Postal Code</a> (Required for U.S. addresses)</b>	
<b><a href="#">Phone Number</a></b>	
<b><a href="#">Fax Number</a></b>	
	Primary Email Address Secondary Email Address(es)  Enter up to 4 addresses, separated by either a <b>semicolon</b> or a <b>comma</b> .

**\* [Internet E-mail Address](#)**

Only one e-mail address may be used for correspondence, in accordance with [USPTO policy](#). The applicant must keep this address current in the USPTO 's records.

I [authorize](#) the USPTO to send e-mail correspondence concerning the application to the applicant, the applicant's attorney, or the applicant's domestic representative at the e-mail address provided above. I understand that, for an application filed under TEAS RF, a valid e-mail address must be maintained and that the applicant or the applicant's attorney must file the relevant subsequent application-related submissions via the Trademark Electronic Application System (TEAS). Failure to do so will result in the loss of TEAS RF status and a requirement to submit an additional processing fee of \$50 per international class of goods/services.

**NOTE:** By checking this box, the appointed attorney acknowledges that it is solely responsible for receipt of USPTO documents sent via e-mail. The appointed attorney should periodically check the status of the application through the [TSDR](#) system, to see if the assigned examining attorney has e-mailed an Office action. If an action has been sent to the provided e-mail address, the USPTO is not responsible for any e-mail not received due to the applicant's, the applicant's appointed attorney's, or the applicant's domestic representative's security or anti-spam software, or any problems within the applicant's, the applicant's appointed attorney's, or the applicant's domestic representative's e-mail system. All sent actions can be viewed on-line, via the [TSDR](#) system.

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## Certification Mark Form, Principal Register

Version 5.4

### Fee Information

**NOTE:** The total fee is computed based on the filing option selected (regular TEAS or TEAS RF) and the number of classes in which the goods/services associated with the mark are classified. Because, in a Certification Mark application, all goods are classified in Class A, while all services are classified in Class B, the Certification Mark application form is preset to allow a maximum payment of two classes. In order to ensure that the total submitted payment is correct, please review the displayed information and, if necessary, manually adjust the Total Fee Paid amount, using the pull-down box to select the correct fee amount.

**NOTE:** For an instructional video on the fee and signature information sections, [click here](#).

**Note:** Three payment options ([credit card](#), [automated deposit account](#), and [Electronic Funds Transfer](#)) will appear after clicking on the **Pay/Submit** button, which is available on the bottom of the *Validation Page* after completing and validating this form.

<a href="#">Number of Classes</a>	1
<b>Fee per class</b>	<b>\$275</b>
<a href="#">Total Fee Due</a>	<b>\$275</b>
* <a href="#">Total Paid Fees</a>	<b>\$275</b>

### Signature Information

Click to choose ONE [signature method](#):

[Sign directly](#)    [E-mail Text Form to second party for signature](#)    [Handwritten pen-and-ink signature](#)    [Submit application unsigned](#)

### Electronic Signature

To electronically sign this application, enter any alpha/numeric characters (letters/numbers) of **your choosing**, preceded and followed by the forward slash (/) symbol. Most signatories enter their name between the two forward slashes; examples of acceptable "signatures" include: /john doe/; /jd/; or /123-4567/. The application may still be validated to check for missing information or errors even if the **signature** and **date signed** fields are left blank; however, you must specifically click the button for "Submit application unsigned," *above*.

### DECLARATION

The signatory believes that: if the applicant is filing the application under 15 U.S.C. § 1051(a), the applicant is the owner of the certification mark sought to be registered; the applicant is exercising legitimate control over the use of the mark in commerce by authorized users on or in connection with the goods/services in the application; the specimen(s) shows the mark as used in commerce by the applicant's authorized users on or in connection with the goods/services in the application; the applicant is not engaged in the production or marketing of the goods/services to which the mark is applied, except to advertise or promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant; and/or if the applicant is filing the application under 15 U.S.C. § 1051(b), § 1126(d), and/or § 1126(e), the applicant has a bona fide intention, and is entitled, to exercise legitimate control over the use of the mark in commerce by authorized users on or in connection with the goods/services in the application; the applicant will not engage in the production or marketing of the goods/services to which the mark is applied, except to advertise or promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant. The signatory believes that to the best of the signatory's knowledge and belief, no other persons, other than authorized users, and, if applicable, concurrent users, have the right to use the mark in commerce, either in the identical form or in such near resemblance as to be likely, when used on or in connection with the goods/services of such other persons, to cause confusion or mistake, or to deceive. The signatory being warned that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements and the like may jeopardize the validity of the application or any registration resulting therefrom, declares that all statements made of his/her own knowledge are true and all statements made on information and belief are believed to be true.

* <a href="#">Signature</a>		* <a href="#">Date Signed</a>	(MM/DD/YYYY)
* <a href="#">Signatory's Name</a>			
* <a href="#">Signatory's Position</a>	<p><b>NOTE:</b> Enter the appropriate title or the nature of the relationship to the applicant - if an individual, enter "Owner"; if an attorney, enter "Attorney of record, [specify at least one state] bar member" (e.g., Attorney of Record, New York bar member); if an authorized signatory of a business entity enter, e.g., "President," "Vice President,"</p>		

	"General Partner" (if a partnership), or "Principal" (if a limited liability company).
<b><a href="#">Signatory's Phone Number</a></b>	

**NOTE:** If there are multiple signatories, click on the "Add Signatory" button below, and repeat signature process. Otherwise, Click on the [Validate](#) button, or if necessary, the Go Back button.

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## Certification Mark Form, Principal Register

### Version 5.4 - Validation Page

On \_\_\_\_\_ You completed all mandatory fields and successfully validated the form. It has NOT been filed to the USPTO at this point. Please complete all steps below to submit the application.

**NOTE:** For an instructional video on the Validation Page, [click here](#).

■ **STEP 1:** Review the application data in various formats, by clicking on the phrases under Application Data. Use the print function within your browser to print these pages for your own records. If the Mark and Specimens appear huge, click [here](#).

**Note:** It is important that you review this information for accuracy and completeness now. Corrections after submission may not be permissible, thereby possibly affecting your legal rights.

**Note:** If you are using the e-signature approach or the handwritten pen-and-ink signature approach, you must click on the final link to access the specific "text form" for that purpose.

#### Application Data

■ [Input](#)

■ [Mark](#)

■ [XML File](#)

■ [Text Form](#)

■ **STEP 2:** If there are no errors and you are ready to file this application electronically, confirm the e-mail address for acknowledgment. Once you submit the form electronically, we will send an electronic acknowledgment of receipt to the e-mail address entered below. If no e-mail address appears, you must enter one. If we should send the acknowledgment to a different e-mail address, or to an additional address(es), please enter the proper address or additional address(es). For **multiple addresses/receipts**, please separate e-mail addresses by either a **semicolon** or a **comma**.

*NOTE:* This e-mail address is only for the purpose of receiving the acknowledgment that the transmission reached the USPTO, and is not related to the e-mail that will be used for correspondence purposes (although it could be the same address. The official e-mail address that the USPTO will use for any future communication is whatever appears in the specific correspondence section of the form.)

**\* E-mail for acknowledgment**

To ensure we can deliver your e-mail confirmation successfully, please re-enter your **e-mail address(es)** here:

**\* E-mail for acknowledgment**

■ **STEP 3:** To download and save the form data, click on the [Download Portable Data](#) button at the bottom of this page. The information will be saved to your local drive. To begin the submission process with saved data, you must open a new form, and click on the "Browse/Choose File" button displayed on the initial form wizard page, at "[OPTIONAL] To access previously-saved data, use the "Browse/Choose File" button below to access the file

**from your local drive."** **REMINDER:** Do **NOT** try to open the saved .obj/.xml form directly. You must return to the very first page of the form, as if starting a brand new form, and then use the specific "Browse/Choose File" button on that page to import the saved file. Clicking on the "Continue" button at the bottom of that first page will then properly open the saved version of your form.

■ **STEP 4:** Read and check the following:

**Important Notice:**

(1) Once you submit this application, we will not cancel the filing or refund your fee. The fee is a processing fee, which we do not refund even if we cannot issue a registration after our substantive review. This is true regardless of how soon after submission you might attempt to request cancellation of the filing. Therefore, please review **ALL** information carefully prior to transmission.

(2) All information you submit to the USPTO at any point in the application and/or registration process will become public record, including your name, phone number, e-mail address, and street address. By filing this application, you acknowledge that **YOU HAVE NO RIGHT TO CONFIDENTIALITY** in the information disclosed. The public will be able to view this information in the USPTO's on-line databases and through internet search engines and other on-line databases. This information will remain public even if the application is later abandoned or any resulting registration is surrendered, cancelled, or expired. To maintain confidentiality of banking or credit card information, only enter payment information in the secure portion of the site after validating your form. For any information that may be subject to copyright protection, by submitting it to the USPTO, the filer is representing that he or she has the authority to grant, and is granting, the USPTO permission to make the information available in its on-line database and in copies of the application or registration record.

(3) Be aware that private companies **not** associated with the USPTO often use trademark application and registration information from the USPTO's databases to [mail or e-mail trademark-related solicitations](#) (samples of non-USPTO solicitations included).

If you have read and understand the above notice, please check the box before you click on the **Pay/Submit** button.

■ **STEP 5:** If you are ready to file electronically:

Click on the **Pay/Submit** button *below*, to access the site where you will select one of three possible payment methods. After successful entry of payment information, you can complete the submission to the USPTO. A valid transaction will result in a screen that says **SUCCESS!** Also, we will send an e-mail acknowledgment within 24 hours.

**WARNING:** Click on the Pay/Submit button **ONLY** if you are now entirely prepared to complete the Pay/Submit process. After clicking the button, you can **NOT** return to the form, since you will have left the TEAS site entirely. Once in the separate payment site, you **must** complete the Pay/Submit process within **30 minutes**. If you are not prepared to complete the process now, you should select the "Download Portable Data" option to save your form, and then complete the Pay/Submit process later. Or, if you have discovered any error, use the "Go Back to Modify" button to make a correction.

**WARNING:** Fee payments by credit card may **not** be made from 2 a.m. to 6 a.m. Sunday, Eastern Standard Time. If you are attempting to file during that specific period, you **must** use either (1) the deposit account or electronic funds transfer payment method; or (2) the "Download Portable Data" option to save your form, and then complete the Pay/Submit process later for a credit card payment.

## Certification Mark Form, Principal Register

**NOTE: Data fields with the \* are mandatory. The wording "(if applicable)" appears where the field is only mandatory under the facts of the particular application.**

**The table below presents the data as entered.**

Input Field	Entered
<b>SERIAL NUMBER</b>	N/A
<b>MARK INFORMATION</b>	
<b>*MARK</b>	
<b>SPECIAL FORM</b>	
<b>USPTO-GENERATED IMAGE</b>	
<b>LITERAL ELEMENT</b>	
<b>COLOR MARK</b>	
<b>COLOR(S) CLAIMED</b> (If applicable)	The color(s) is/are claimed as a feature of the mark.
<b>*DESCRIPTION OF THE MARK</b> (and Color Location, if applicable)	The mark consists of .
<b>PIXEL COUNT ACCEPTABLE</b>	
<b>PIXEL COUNT</b>	____ x ____
<b>APPLICANT INFORMATION</b>	
<b>*OWNER OF MARK</b>	
<b>*STREET</b>	
<b>*CITY</b>	
<b>*STATE</b> (Required for U.S. applicants)	
<b>*COUNTRY</b>	
<b>*ZIP/POSTAL CODE</b> (Required for U.S. applicants)	
<b>LEGAL ENTITY INFORMATION</b>	
<b>TYPE</b>	
<b>GOODS AND/OR SERVICES AND BASIS INFORMATION</b>	
<b>INTERNATIONAL CLASS</b>	
<b>*IDENTIFICATION</b>	
<b>FILING BASIS</b>	
<b>CERTIFICATION</b>	

<b>*CERTIFICATION STATEMENT</b>	
<b>ADDITIONAL STATEMENTS SECTION</b>	
<b>DISCLAIMER</b>	No claim is made to the exclusive right to use ___ apart from the mark as shown.
<b>ACTIVE PRIOR REGISTRATION(S)</b>	The applicant claims ownership of active prior U.S. Registration Number(s) 0000000, 0000000, and 0000000.
<b>TRANSLATION</b>	The English translation of __ in the mark is ____. The wording ____ has no meaning in a foreign language.
<b>TRANSLITERATION</b>	The non-Latin characters in the mark transliterate to ____ and this means ____ in English. The non-Latin characters in the mark transliterate to ____ and this has no meaning in a foreign language.
<b>SIGNIFICANCE OF MARK</b>	____ appearing in the mark means or signifies or is a term of art for ____ in the relevant trade or industry or as used in connection with the goods/services listed in the application. ____ appearing in the mark has no significance nor is it a term of art in the relevant trade or industry or as used in connection with the goods/services listed in the application, or any geographical significance. The word(s) ____ has no meaning in a foreign language.
<b>NAME(S), PORTRAITS(S), SIGNATURE(S) OF INDIVIDUAL(S)</b>	The name(s), portrait(s), and/or signature(s) shown in the mark does not identify a particular living individual.
<b>SECTION 2(f) Claim of Acquired Distinctiveness, based on Five or More Years' Use</b>	The mark has become distinctive of the certified goods/services through the authorized users substantially exclusive and continuous use of the mark in commerce that the U.S. Congress may lawfully regulate for at least the five years immediately before the date of this statement.
<b>ATTORNEY INFORMATION</b>	
<b>NAME</b>	
<b>STREET</b>	
<b>CITY</b>	
<b>STATE</b>	
<b>COUNTRY</b>	
<b>ZIP/POSTAL CODE</b>	
<b>EMAIL ADDRESS</b>	
<b>AUTHORIZED TO COMMUNICATE VIA EMAIL</b>	Yes
<b>CORRESPONDENCE INFORMATION</b>	
<b>NAME</b>	
<b>STREET</b>	
<b>CITY</b>	
<b>STATE</b>	
<b>COUNTRY</b>	
<b>ZIP/POSTAL CODE</b>	
<b>*EMAIL ADDRESS</b>	

<b>*AUTHORIZED TO COMMUNICATE VIA EMAIL</b>	Yes
<b>FEE INFORMATION</b>	
<b>APPLICATION FILING OPTION</b>	TEAS RF
<b>NUMBER OF CLASSES</b>	1
<b>FEE PER CLASS</b>	275
<b>*TOTAL FEE DUE</b>	275
<b>*TOTAL FEE PAID</b>	275
<b>SIGNATURE INFORMATION</b>	
<b>SIGNATURE</b>	/ /
<b>SIGNATORY'S NAME</b>	
<b>SIGNATORY'S POSITION</b>	
<b>DATE SIGNED</b>	00/00/0000

## Certification Mark Form, Principal Register

### To the Commissioner for Trademarks:

**MARK:** \_\_\_ (stylized and/or with design, see [mark](#))

The literal element of the mark consists of \_\_\_\_\_.

The color(s) \_\_\_\_\_ is/are claimed as a feature of the mark. The mark consists of \_\_\_\_\_. The applicant, \_\_\_\_\_, having an address of \_\_\_\_\_.

requests that the Certification Mark identified above be registered with the USPTO on the Principal Register for the following:

International Class A: \_\_\_\_\_

**Use in Commerce:** The applicant has a bona fide intention, and is entitled, to exercise legitimate control over the use of the certification mark in commerce by its authorized users on or in connection with the identified goods/services. The applicant will not engage in the production or marketing of the goods/services to which the mark is applied, except to advertise or promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant. The applicant will later provide with the filing of an Allegation of Use (Amendment to Allege Use/Statement of Use) a copy of the standards governing the use of the certification mark on or in connection with the goods/services in the application.

**Certification Statement:** \_\_\_\_\_.

### Disclaimer

No claim is made to the exclusive right to use \_\_\_ apart from the mark as shown.

### Claim of Active Prior Registration(s)

The applicant claims ownership of active prior U.S. Registration Number(s) 0000000, XXXXXXXX, and 0000000.

### Translation

The English translation of \_\_\_ in the mark is \_\_\_\_\_. The wording \_\_\_ has no meaning in a foreign language.

### Transliterations

The non-Latin characters in the mark transliterate to \_\_\_\_\_ and this means \_\_\_\_\_ in English. The non-Latin characters in the mark transliterate to \_\_\_\_\_ and this has no meaning in a foreign language.

### Significance of wording, letter(s), or numeral(s)

\_\_\_ appearing in the mark means or signifies or is a term of art for \_\_\_ in the relevant trade or industry or as used in connection with the goods/services listed in the application. \_\_\_ appearing in the mark has no significance nor is it a term of art in the relevant trade or industry or as used in connection with the goods/services listed in the application, or any geographical significance. The word(s) \_\_\_ has no meaning in a foreign language.

**Name(s), Portrait(s), Signature(s) of individual(s)**

The name(s), portrait(s), and/or signature(s) shown in the mark does not identify a particular living individual.

**SECTION 2(f) Claim of Acquired Distinctiveness, based on Five or More Years' Use**

The mark has become distinctive of the certified goods/services through the authorized users substantially exclusive and continuous use of the mark in commerce that the U.S. Congress may lawfully regulate for at least the five years immediately before the date of this statement.

The applicant's current Attorney Information:

The applicant's current Correspondence Information:

**E-mail Authorization:** I authorize the USPTO to send e-mail correspondence concerning the application to the applicant or applicant's attorney at the e-mail address provided above. I understand that a valid e-mail address must be maintained and that the applicant or the applicant's attorney must file the relevant subsequent application-related submissions via the Trademark Electronic Application System (TEAS). Failure to do so will result in an additional processing fee of \$50 per international class of goods/services.

A fee payment in the amount of \$275 will be submitted with the application, representing payment for 1 class(es).

**Declaration**

The signatory believes that: if the applicant is filing the application under 15 U.S.C. § 1051(a), the applicant is the owner of the certification mark sought to be registered; the applicant is exercising legitimate control over the use of the mark in commerce by authorized users on or in connection with the goods/services in the application; the specimen(s) shows the mark as used in commerce by the applicant's authorized users on or in connection with the goods/services in the application; the applicant is not engaged in the production or marketing of the goods/services to which the mark is applied, except to advertise or promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant; and/or if the applicant is filing the application under 15 U.S.C. § 1051(b), § 1126(d), and/or § 1126(e), the applicant has a bona fide intention, and is entitled, to exercise legitimate control over the use of the mark in commerce by authorized users on or in connection with the goods/services in the application; the applicant will not engage in the production or marketing of the goods/services to which the mark is applied, except to advertise or promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant. The signatory believes that to the best of the signatory's knowledge and belief, no other persons, other than authorized users, and, if applicable, concurrent users, have the right to use the mark in commerce, either in the identical form or in such near resemblance as to be likely, when used on or in connection with the goods/services of such other persons, to cause confusion or mistake, or to deceive. The signatory being warned that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements and the like may jeopardize the validity of the application or any registration resulting therefrom, declares that all statements made of his/her own knowledge are true and all statements made on information and belief are believed to be true.

**Declaration Signature**

Signature: // Date: 00/00/0000

Signatory's Name:

Signatory's Position: \_