An Overview of USPTO Operations

David J. Kappos
Under Secretary of Commerce for Intellectual Property and Director of the U.S. Patent and Trademark Office
### America Invents Act (AIA)

#### 12 Month Timeline

<table>
<thead>
<tr>
<th></th>
<th>SEP 16 2011</th>
<th>OCT</th>
<th>NOV</th>
<th>DEC</th>
<th>JAN</th>
<th>FEB</th>
<th>MAR</th>
<th>APR</th>
<th>MAY</th>
<th>JUN</th>
<th>JUL</th>
<th>AUG</th>
<th>SEP 16 2012</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Prepare G2 NPRMs</td>
<td>OMB Review of G2 NPRMs</td>
<td>Public Comment on G2 NPRMs</td>
<td>Prepare G2 FRs</td>
<td>OMB Review of G2 FRs</td>
<td>Delayed Effective Date of G2 FRs</td>
<td>USPTO Public Roundtables on NPRMs</td>
<td>USPTO Prepare Internal Guidance, MPEP Updates, Examiner Training, etc. on G2 FRs</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

- **SEP 16 2011**: Begin preparing G2 NPRMs
- **OCT**: OMB Review of G2 NPRMs
- **NOV**: Public Comment on G2 NPRMs
- **DEC**: Prepare G2 FRs
- **JAN**: Finalize G2 FRs
- **FEB**: OMB Review of G2 FRs
- **MAR**: Delayed Effective Date of G2 FRs
- **APR**: USPTO Public Roundtables on NPRMs
- **MAY**: USPTO Prepare Internal Guidance, MPEP Updates, Examiner Training, etc. on G2 FRs
- **JUN**, **JUL**, **AUG**: Continue implementation and monitoring

4/9/2012
### Implemented Provisions
(Effective on September 16, 2011 or within 60 days)

<table>
<thead>
<tr>
<th>AIA Provision</th>
<th>Implementation Documents</th>
</tr>
</thead>
<tbody>
<tr>
<td>2. Tax strategies are deemed within the prior art</td>
<td>Memo to Examiners, Sept. 20, 2011</td>
</tr>
<tr>
<td>3. Best mode</td>
<td>Memo to Examiners, Sept. 20, 2011</td>
</tr>
<tr>
<td>4. Human organism prohibition</td>
<td>Memo to Examiners, Sept. 20, 2011</td>
</tr>
</tbody>
</table>
# Track I Statistics

<table>
<thead>
<tr>
<th>Examination Status</th>
<th>First Action on Merits mailed</th>
<th>Final Dispositions mailed</th>
<th>Allowances Mailed</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of Track I applications</td>
<td>991</td>
<td>3</td>
<td>59</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Number of Track I Applications</th>
<th>Petitions Filed</th>
<th>Days to Petition Decision</th>
<th>% Petitions Granted</th>
<th>Days from Petition to first Office action</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2,124</td>
<td>44.6</td>
<td>99%</td>
<td>36</td>
</tr>
</tbody>
</table>
Proposed Rules in Progress (12 Month timeline)

<table>
<thead>
<tr>
<th>NPRMs Published as of January 25, 2012</th>
<th>NPRMs Publishing this Week</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inventor’s oath / declaration</td>
<td>Inter partes review</td>
</tr>
<tr>
<td>Third party submission of prior art in a patent application</td>
<td>Post grant review</td>
</tr>
<tr>
<td>Citation of prior art in a patent file</td>
<td>Transitional program for covered business method patents</td>
</tr>
<tr>
<td>OED Statute of Limitations</td>
<td>Derivation</td>
</tr>
<tr>
<td>Supplemental examination</td>
<td></td>
</tr>
</tbody>
</table>
USPTO’s website devoted to America Invents Act legislation

- One-stop shopping for all America Invents Act information
- Full text of bill and summary documents, including legislative history
- Implementation plans
- Announcements
- Points of contact

President Barack Obama signs the America Invents Act, Friday September 16, 2011, at Thomas Jefferson High School for Science and Technology in Alexandria, VA
AIA Roadshows and Hearings

www.uspto.gov/AmericaInventsAct

Roadshow and Hearing Schedule 2012

<table>
<thead>
<tr>
<th>Date</th>
<th>Event</th>
<th>Venue</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wednesday, February 15</td>
<td>PPAC Fee Setting Hearing*</td>
<td>USPTO Campus</td>
<td>Alexandria, VA</td>
</tr>
<tr>
<td>Thursday, February 16</td>
<td>Genetic Testing Hearing*</td>
<td>USPTO Campus</td>
<td>Alexandria, VA</td>
</tr>
<tr>
<td>Friday, February 17</td>
<td>Roadshow*</td>
<td>USPTO Campus</td>
<td>Alexandria, VA</td>
</tr>
<tr>
<td>Thursday, February 23</td>
<td>PPAC Fee Setting Hearing*</td>
<td>Sunnyvale Public Library</td>
<td>Sunnyvale, CA</td>
</tr>
<tr>
<td>Friday, February 24</td>
<td>Roadshow*</td>
<td>Sunnyvale Public Library</td>
<td>Sunnyvale, CA</td>
</tr>
<tr>
<td>Monday, February 27</td>
<td>Roadshow</td>
<td>Marriott Library, University of Utah</td>
<td>Salt Lake City, UT</td>
</tr>
<tr>
<td>Wednesday, February 29</td>
<td>Roadshow</td>
<td>Dallas Public Library</td>
<td>Dallas, TX</td>
</tr>
<tr>
<td>Friday, March 2</td>
<td>Roadshow</td>
<td>Broward County Main Library</td>
<td>Ft. Lauderdale, FL</td>
</tr>
<tr>
<td>Monday, March 5</td>
<td>Roadshow</td>
<td>Boston Public Library</td>
<td>Boston, MA</td>
</tr>
<tr>
<td>Wednesday, March 7</td>
<td>Roadshow</td>
<td>Chicago Public Library</td>
<td>Chicago, IL</td>
</tr>
<tr>
<td>Friday, March 9</td>
<td>Genetic Testing Hearing*</td>
<td>University of San Diego</td>
<td>San Diego, CA</td>
</tr>
</tbody>
</table>
Elijah J. McCoy Satellite Office
Detroit, MI

• Scheduled: July 2012

• 100 ‘IP Experienced’ Patent Examiners to be hired in first year of operation

• Office will have a Board of Patent Appeals Presence

• 1 of 3 planned Satellite Offices
Applications Awaiting First Action
FY 2009 – FY 2012 (through January)

Preliminary FY 2012 Target:
621,800

658,647 as of February 6th.
Total UPR and RCE Filings
FY 2001 – FY 2012 (projections)

Preliminary FY 2012 Target:
533,300

FY 12 data are projections.
RCE Backlog
FY 2010 – FY 2012 (through January)

80,608 as of February 6th.
First Action Pendency & Total Pendency
FY 2009 – FY 2012 (through January)

Preliminary FY 2012 First action Target:
22.5 Months

Preliminary FY 2012 Total Pendency Target:
34.7 Months
Forward Looking First Action Pendency
FY 2009 – FY 2012 (through January)

Forward Looking Pendency represents an estimate of the average number of months it would take to complete a first Office action under current and projected workload and resource levels for an application filed at the given date.
Interview Time
FY 2008 – FY 2012 (through December)

43,486 hours as of January 14th, 2012, compared with 38,531 hours as of January 14th, 2011.
Clearing the Oldest Patent Applications
COPA 2.0 - FY 2012 (through 1/28/12)

FY 2012 COPA Backlog (Tail): Applications with filing dates on or before September 1st, 2010 (304,000 on Oct. 1, 2011)
FY 2012 Goal: Reduce COPA Backlog (Tail) by 260,000 applications

FY2012 Goal: 260,000 Cases
163,206 Tail Cases Needed for Goal
96,794 Tail Cases Worked
207,206 Total Tail Cases Remaining

Distribution of Corps Backlog (Tail)
Actions Per Disposal Target

Sustained decrease in actions per disposal is a positive indicator – issues are being resolved efficiently.
Sustained increase in allowance rate is a positive indicator – it shows increased efficiency of the workforce.
Central Re-examination Unit (CRU)

- **Significant decline in pendency:** Increased staffing; Pilot program for waiving the statement period; Streamlined process for handling petitions and publication of certificates

Reexam Filings and Time to Certificate

- **Total Reexam Filings**
- **Time From Filing to Cert (Avg Months, last Qtr of FY)**
*Aggressive hiring to tackle BPAI backlog
Quality Measures
Final Disposition, In-Process and QIR
12 Month Rolling Average
FY 2009 – FY 2012 (through January)

2012 Final Disposition Compliance Rate Target Range 2012 In-Process Compliance Rate Target Range 2012 Quality Index Reporting Target Range
(95.6% - 96.7%). Actual as of January: 95.9% (94.6% - 96.0%). Actual as of January: 96.3% (88.3% - 91.60%). Actual as of January: 89.5%
## Quality Measures

### USPTO Patents Quality Composite Item - Actual Metrics

<table>
<thead>
<tr>
<th>Reporting Period</th>
<th>Final Disposition Compliance Rate</th>
<th>In-Process Compliance Rate</th>
<th>Pre-FAOM Search Review</th>
<th>Complete FAOM Review</th>
<th>Quality Index Reporting</th>
<th>External Quality Survey</th>
<th>Internal Quality Survey</th>
<th>Quality Composite Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY12Q1</td>
<td>95.4%</td>
<td>96.2%</td>
<td>95.6%</td>
<td>91.0%</td>
<td>89.5%</td>
<td>3.0</td>
<td>4.3</td>
<td>35.2</td>
</tr>
<tr>
<td>FY11Q4</td>
<td>95.6%</td>
<td>96.2%</td>
<td>94.9%</td>
<td>90.9%</td>
<td>89.9%</td>
<td>3.3</td>
<td>4.3</td>
<td>30.7</td>
</tr>
<tr>
<td>FY11Q3</td>
<td>95.4%</td>
<td>94.7%</td>
<td>89.8%</td>
<td>90.0%</td>
<td>89.1%</td>
<td>4.2</td>
<td>4.2</td>
<td>26.4</td>
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<tr>
<td>FY11Q2</td>
<td>95.3%</td>
<td>94.8%</td>
<td>89.7%</td>
<td>89.7%</td>
<td>88.9%</td>
<td>2.7</td>
<td>4.2</td>
<td>25.5</td>
</tr>
<tr>
<td>FY11Q1</td>
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<td>94.9%</td>
<td>90.8%</td>
<td>88.9%</td>
<td>89.6%</td>
<td>3.6</td>
<td>3.6</td>
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<tr>
<td>FY10Q4</td>
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<td>94.9%</td>
<td>N/A</td>
<td>N/A</td>
<td>89.3%</td>
<td>1.8</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>FY10Q3</td>
<td>96.0%</td>
<td>94.6%</td>
<td>N/A</td>
<td>N/A</td>
<td>89.5%</td>
<td>1.8</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>FY10Q2</td>
<td>95.7%</td>
<td>94.4%</td>
<td>N/A</td>
<td>N/A</td>
<td>89.1%</td>
<td>1.8</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>FY10Q1</td>
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<td>94.1%</td>
<td>N/A</td>
<td>N/A</td>
<td>87.9%</td>
<td>1.2</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>FY09Q4</td>
<td>94.4%</td>
<td>93.6%</td>
<td>N/A</td>
<td>N/A</td>
<td>85.8%</td>
<td>1.2</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>FY09Q3</td>
<td>94.1%</td>
<td>94.1%</td>
<td>N/A</td>
<td>N/A</td>
<td>84.2%</td>
<td>1.1</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>FY09Q2</td>
<td>93.8%</td>
<td>93.9%</td>
<td>N/A</td>
<td>N/A</td>
<td>90.4%</td>
<td>1.3</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>FY09Q1</td>
<td>94.0%</td>
<td>93.4%</td>
<td>N/A</td>
<td>N/A</td>
<td>83.5%</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>
Green Technologies Pilot

| Request Summary | 12/09 | 1/10 | 2/10 | 3/10 | 4/10 | 5/10 | 6/10 | 7/10 | 8/10 | 9/10 | 10/10 | 11/10 | 12/10 | 01/11 | 02/11 | 03/11 | 04/11 | 05/11 | 06/11 | 07/11 | 08/11 | 09/11 | 10/11 | 11/11 | 12/11 | 01/12 | Total |
|-----------------|-------|------|------|------|------|------|------|------|------|------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| Petitions Received | 325 351 124 78 67 72 224 123 59 64 32 168 340 337 163 548 156 216 216 142 151 144 251 | 262 262 | 262 262 262 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 |
| Awaiting Decision by month | 316 541 138 68 58 77 147 42 42 56 51 107 256 220 224 310 327 285 272 282 244 252 325 357 345 382 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 |
| Requests Granted by month | 2 12 209 67 45 38 98 180 65 48 26 81 122 222 117 263 86 237 167 116 206 111 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 |
| Requests Denied by month | 7 0 2 29 18 7 30 28 14 11 6 1 0 10 9 23 14 14 9 0 3 0 7 0 11 4 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 |
| Requests Dismissed by month | 0 121 316 43 14 8 36 30 16 13 5 28 69 91 35 176 33 67 53 41 65 32 55 42 65 27 1,481 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 | 262 262 |

**Average time from petition grant to final disposition:** 271 days

**Shortest time from petition grant to final disposition:** 57 days

**Longest time from petition grant to final disposition:** 683 days

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**Green Technologies Pilot**


**Average time from petition grant to final disposition:**

- 271 days

**Shortest time from petition grant to final disposition:**

- 57 days

**Longest time from petition grant to final disposition:**

- 683 days

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**Expected**

- **Petitions Received:** 3,564
- **Awaiting Decision (by month):** 316, 541, 138, 68, 58, 77, 147, 42, 42, 56, 51, 107, 256, 220, 224, 310, 327, 285, 272, 282, 244, 252, 325, 357, 345, 382
- **Requests Granted (by month):** 2, 12, 209, 67, 45, 38, 98, 180, 65, 48, 26, 81, 122, 222, 117, 263, 86, 237, 167, 116, 206, 111
- **Requests Denied (by month):** 7, 0, 2, 29, 18, 7, 30, 28, 14, 11, 6, 1, 0, 10, 9, 23, 14, 14, 9, 0, 3, 0, 7, 0, 11, 4
- **Requests Dismissed (by month):** 0, 121, 316, 43, 14, 8, 36, 30, 16, 13, 5, 28, 69, 91, 35, 176, 33, 67, 53, 41, 65, 32, 55, 42, 65, 27
Current Patent Prosecution Highway (PPH) Programs

- Japan (JPO)
- Korea (KIPO)
- China (SIPO)
- European Patent Office (EPO)
- Germany (DPMA)
- Australia (IPAU)
- Canada (CIPO)
- United Kingdom (UKIPO)
- Denmark (DKPTO)
- Iceland (IPO)
- Norway (NIPO)
- Nordic Patent Institute (NPI)
- Finland (NBPR)
- Sweden (PRV)
- Russia (Rospatent)
- Israel (ILPO)
- Taiwan (TIPO)
- Hungary (HPO)
- Spain (SPTO)
- Austria (APO)
- Singapore (IPOS)
- Mexico (IMPI)
PPH Requests at USPTO

- USPTO goal for PPH requests in calendar year 2011: 8,000
- Status as of December 31:

<table>
<thead>
<tr>
<th></th>
<th>Paris-PPH</th>
<th>PCT-PPH</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Paris-PPH</td>
<td>6,354</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PCT-PPH</td>
<td>2,622</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>8,976</strong></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

- PPH results compared with all cases:

<table>
<thead>
<tr>
<th></th>
<th>Paris-PPH</th>
<th>PCT-PPH</th>
<th>All Cases</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grant Rate (Allowances/Total Number of Disposals)</td>
<td>89%</td>
<td>96%</td>
<td>47%</td>
</tr>
<tr>
<td>Actions per Disposal</td>
<td>2.13</td>
<td>1.61</td>
<td>2.49</td>
</tr>
<tr>
<td>CPC Milestone</td>
<td>Timeframe</td>
<td></td>
<td></td>
</tr>
<tr>
<td>------------------------------------------------------------------------------</td>
<td>------------------</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Introduction to CPC for all examiners.</td>
<td>2012</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Develop Examiner Training with EPO.</td>
<td>2012-2014</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Contractors apply CPC symbols to PGPUB pipeline documents.</td>
<td>January 1, 2013</td>
<td></td>
<td></td>
</tr>
<tr>
<td>USPC and CPC symbols will be searchable in EAST/WEST, while routing remains based on USPC.</td>
<td>2013</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CPC symbols will propagate from PGPUB to Grants</td>
<td>2013</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Examiners will begin to add CPC symbols as needed on issued applications, with voluntary search capability of CPC symbols in EAST/WEST.</td>
<td>2013-2014</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Final stage of CPC implementation.</td>
<td>2015</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Trademark Performance: Application Filings

- FY 2010: 368,939 classes
- FY 2011: 398,667 classes
- FY 2012: projected 413,000 classes
## Trademark Performance: Quality

<table>
<thead>
<tr>
<th>FY 2011 Trademark Performance Measures</th>
<th>FY 2012 Targets</th>
<th>FY 2012 Q1 Results</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>FIRST ACTION COMPLIANCE</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>In-process review evaluation of the statutory bases for which the Office raises issues and or refuses marks for registration based on the first office action.</td>
<td>95.5%</td>
<td>94.1%*</td>
</tr>
<tr>
<td><strong>FINAL ACTION COMPLIANCE</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>In-process review evaluation of the statutory bases for which the Office raises issues and or refuses marks for registration based on the examiner’s approval or denial of the application.</td>
<td>97.0%</td>
<td>96.4%*</td>
</tr>
<tr>
<td><strong>EXCELLENT OFFICE ACTION</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>New measure for FY 2011 indicating the comprehensive quality of the first Office action search, evidence, writing and decision making.</td>
<td>20.0%</td>
<td>22.1%</td>
</tr>
</tbody>
</table>

*Preliminary Numbers*
New TM Performance Measure: Excellent Office Actions

**Criteria for Excellence***

1. Correctness in decision making
2. Quality of the search
3. Quality and relevance of evidence
4. Clarity of the written Office Action

*Office action must meet all 4 criteria to be considered excellent*
**Mark:** APLA

- **US Serial Number:** 78839692
- **US Registration Number:** 3029133
- **Register:** Principal
- **Mark Type:** Trademark, Service Mark
- **Status:** A Sections 8 and 15 combined declaration has been accepted and acknowledged.
- **Status Date:** Feb 01, 2012
- **Publication Date:** Sep 20, 2005

**Application Filing Date:** Dec. 29, 2004
**Registration Date:** Dec. 13, 2005
President Obama Presents the 2010 National Medal of Technology and Innovation
October 21

Under Secretary for Commerce and Director of the USPTO David Kappos and Deputy Director Teresa Stanek Rea traveled to the White House to honor the recipients of the highest award bestowed upon innovators in the United States.

President Obama honors rocket scientist Yvonne C. Brill with the 2011 National Medal of Technology and Innovation for improvement in the effectiveness of space propulsion systems. Photo by NSF.

Event: Title of the Event Here
Dynamic text about the event or item here

Event: Title of the Event Here
Dynamic text about the event or item here

Event: Title of the Event Here
Dynamic text about the event or item here

Event: Title of the Event Here
Dynamic text about the event or item here

Director’s Forum
Latest director’s blog post title

Data Visualization Center
The Patents, Trademarks, and OPEA dashboards

Calendar of Events

POPPULAR LINKS

PATENT APPLICATION INFORMATION RETRIEVAL (PAIR)
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PATENTS: MANUAL OF PATENT EXAMINING PROCEDURES (MPEP)
TRADEMARK ELECTRONIC APPLICATION SYSTEM (TEAS)
TRADEMARKS FOR FIRST-TIME FILING (TEAS-1)
TRADEMARKS: OFFICIAL GAZETTE
TRADEMARK APPLICATIONS AND REGISTRATIONS RETRIEVAL (TARR)
TRADEMARK MANUAL OF EXAMINING PROCEDURES (MNEP)
USPTO FORMS
FEE SCHEDULE

GOV RESOURCES

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Flexible schedules and a career in Innovation

COMMERCECONNECT.GOV
Department of Commerce business resources

FDsys.gov
Browse the Federal Register

patents

trademarks

iplaw&policy

USPTO NEWS

Commissioner for Patents Robert Stoll to Retire from Government Service After 29 Years at the USPTO.

USPTO Launches Small Business Innovation Research Pilot Program

USPTO to Host Webinar on America Invents Act (AIA) With Senior Agency Officials

USPTO and EPO Launch New Website for the Cooperative Patent Classification (CPC) Project

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- IT Overhaul for the 21st Century
- Dynamic views of drawings, claims, and annotations
- Greater Examination & Agency Efficiency
ePetition Resource Page

The ePetitions Resource Page provides useful information and guidance regarding the submission, automatic processing, and immediate grant of ePetitions.

- **Secure Filing with Auto-Grant.** Filing an ePetition via EFS-Web automates the petition process and allows petitioners to directly input the requisite information into a secure Web interface and immediately receive an ePetition decision.

- **Saves Time when Immediate Petition Decisions are Important.** Time savings using ePetitions is especially advantageous for critical petitions where an automatic petition grant would reduce delays in restoration of patent rights, expedite withdrawal from representation and redirect Office correspondence to the new correspondence address, and initiate the revival of an abandoned application to save patent term adjustment time.

For questions regarding Patent e-Filing, or to suggest improvements to the online system, please send an email to **epbc@uspto.gov** or call the Patent Electronic Business Center at **1-866-217-9197** (toll free) or **571-272-4100** from 6 a.m. to 12 Midnight Eastern Time, Monday - Friday.

**PDF-based ePetitions**

The PDF-based ePetitions require the download and completion of the respective EFS-Web Fillable PDF Form.

- Petition to Make Special Based on Age (37 CFR 1.102)
  - [SB 130 EFS-Web eForm](http://www.uspto.gov/patents/process/file/efs/guidance/eft/sb130eform.pdf) [PDF] (version 1.0.18, 27JUL2009)
  - [SB 130 Quick Start Guide](http://www.uspto.gov/patents/process/file/efs/guidance/eft/sb130startguide.doc) [DOC]

- Petition to Accept Unintentional Delayed Payment of the Maintenance Fee (37 CFR 1.378(c))
  - [SB 66 EFS-Web eForm](http://www.uspto.gov/patents/process/file/efs/guidance/eft/sb66eform.pdf) [PDF] (version 2.2, 01MAR2009)
  - [SB 66 Quick Start Guide](http://www.uspto.gov/patents/process/file/efs/guidance/eft/sb66startguide.doc) [DOC]

**Web-based ePetitions**

The ePetition information can be entered directly into EFS-Web screens. The PDF form is **not required** for these ePetitions.

- Request for Withdrawal as Attorney or Agent of Record (37 CFR 1.36)

- Petitions to Withdraw from Issue after Payment of the Issue Fee
  - Petition to Withdraw from Issue after Payment of the Issue Fee (37 CFR 1.313(c)(1) or (2))

Thank You