

**UNITED STATES
PATENT AND TRADEMARK OFFICE**





Trial Statistics

IPR, PGR, CBM

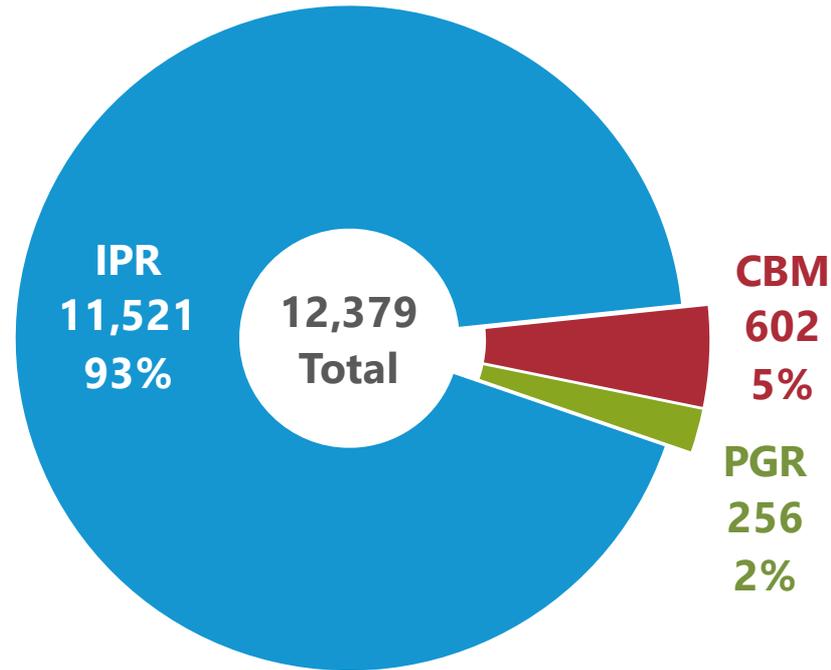
Patent Trial and Appeal Board
November 2020

UNITED STATES
PATENT AND TRADEMARK OFFICE



Petitions by Trial Type

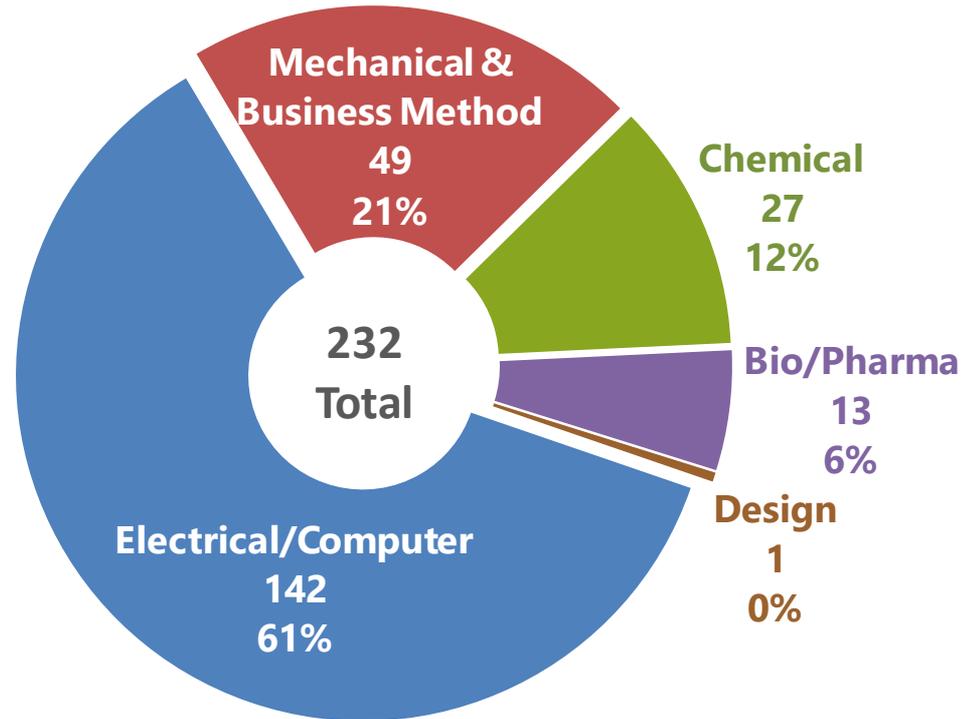
(All Time: Sept. 16, 2012 to Nov. 30, 2020)



Trial types include Inter Partes Review (IPR), Post Grant Review (PGR), and Covered Business Method (CBM).

Petitions Filed by Technology in FY21

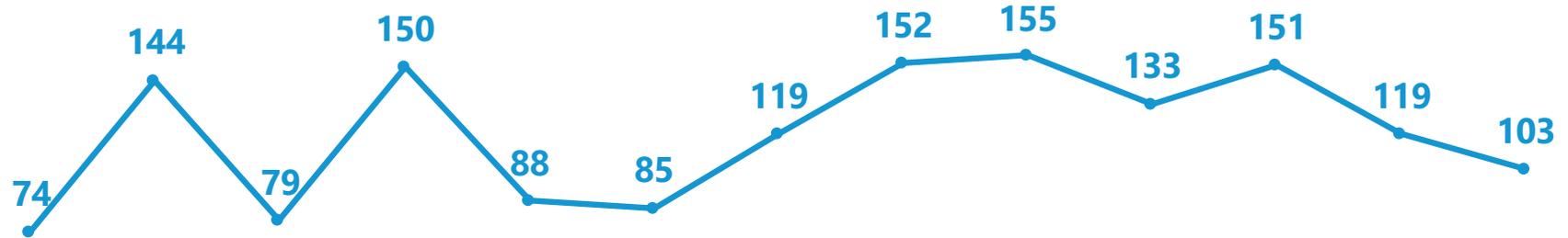
(FY21: Oct. 1, 2020 to Nov. 30, 2020)



Petitions Filed by Month

(Nov. 2020 and Previous 12 Months: Nov. 1, 2019 to Nov. 30, 2020)

(222 IPRs in FY21)



Nov-19

IPR

Nov-20

(10 PGRs in FY21)



Nov-19

PGR

Nov-20

(0 CBMs in FY21)



Nov-19

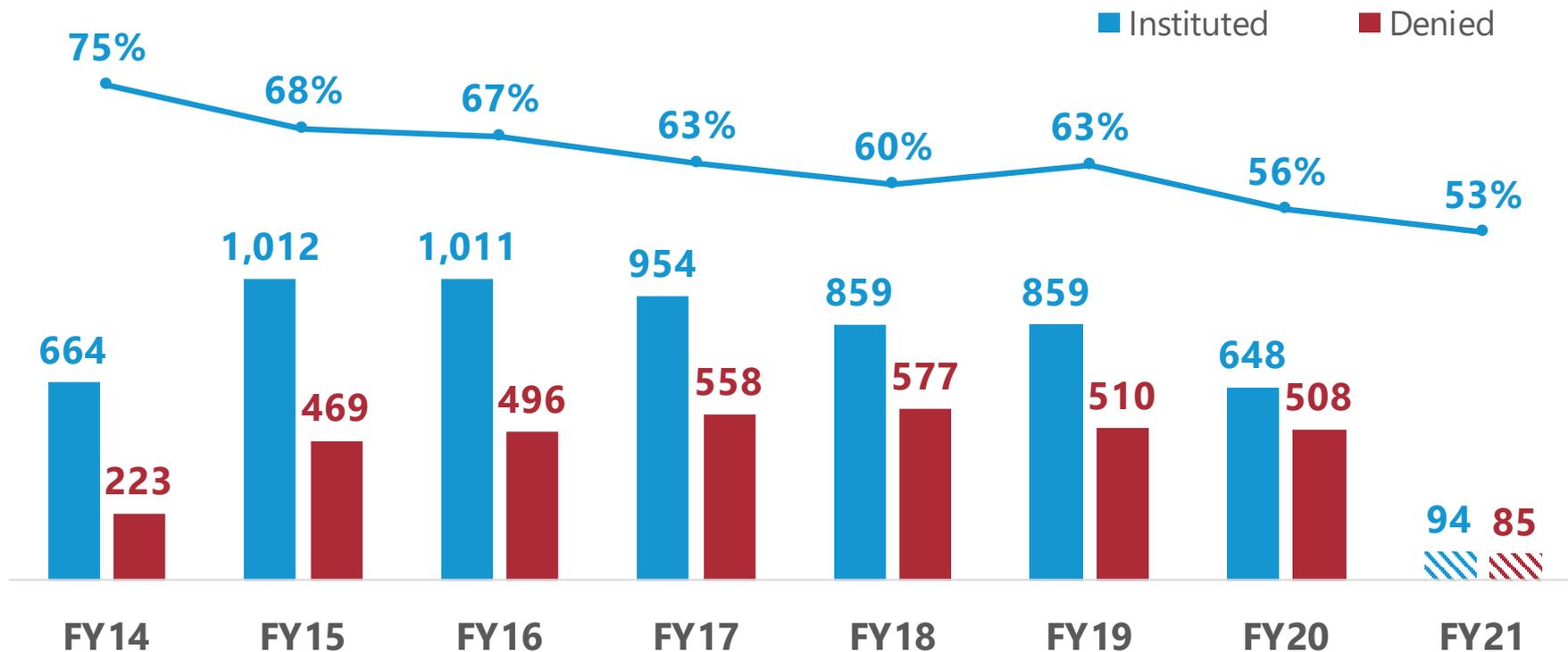
CBM

Nov-20



Institution Rates

(FY14 to FY21: Oct. 1, 2013 to Nov. 30, 2020)

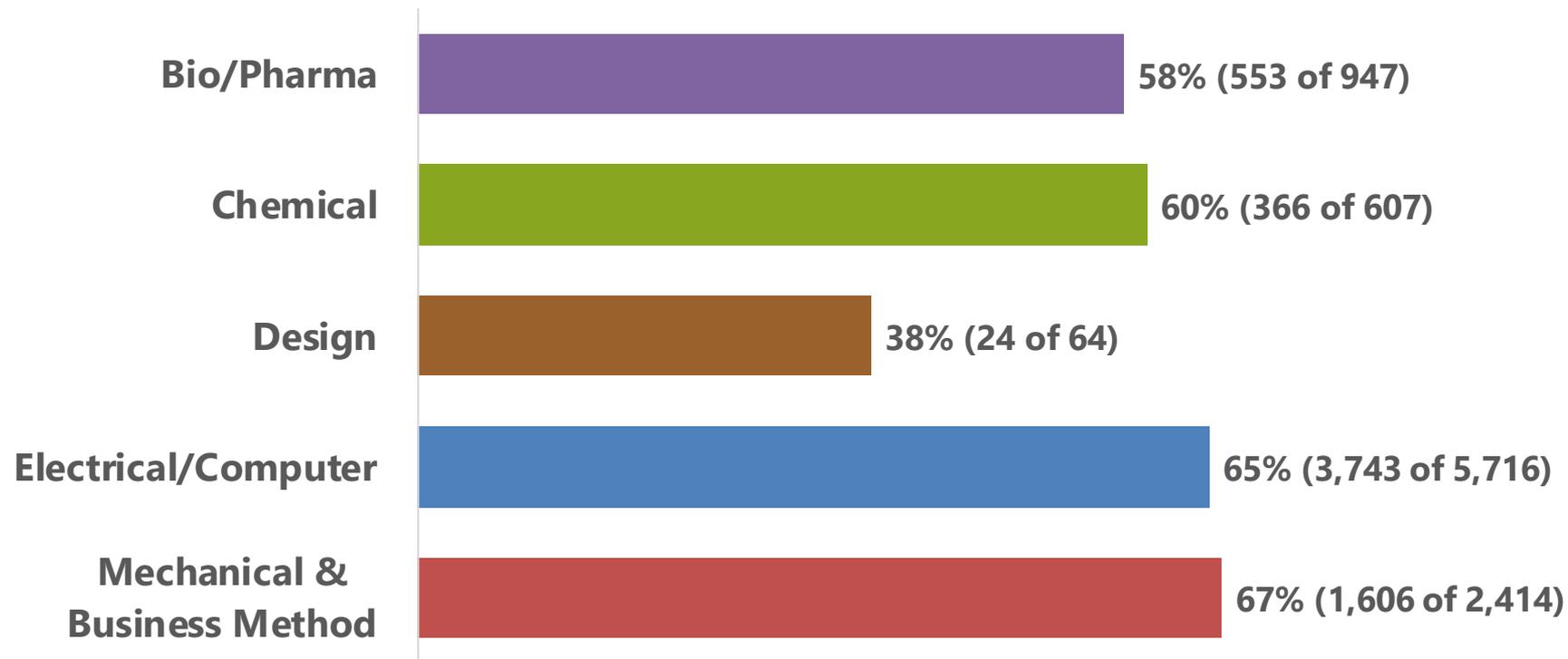


Institution rate for each fiscal year is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.



Institution Rates by Technology

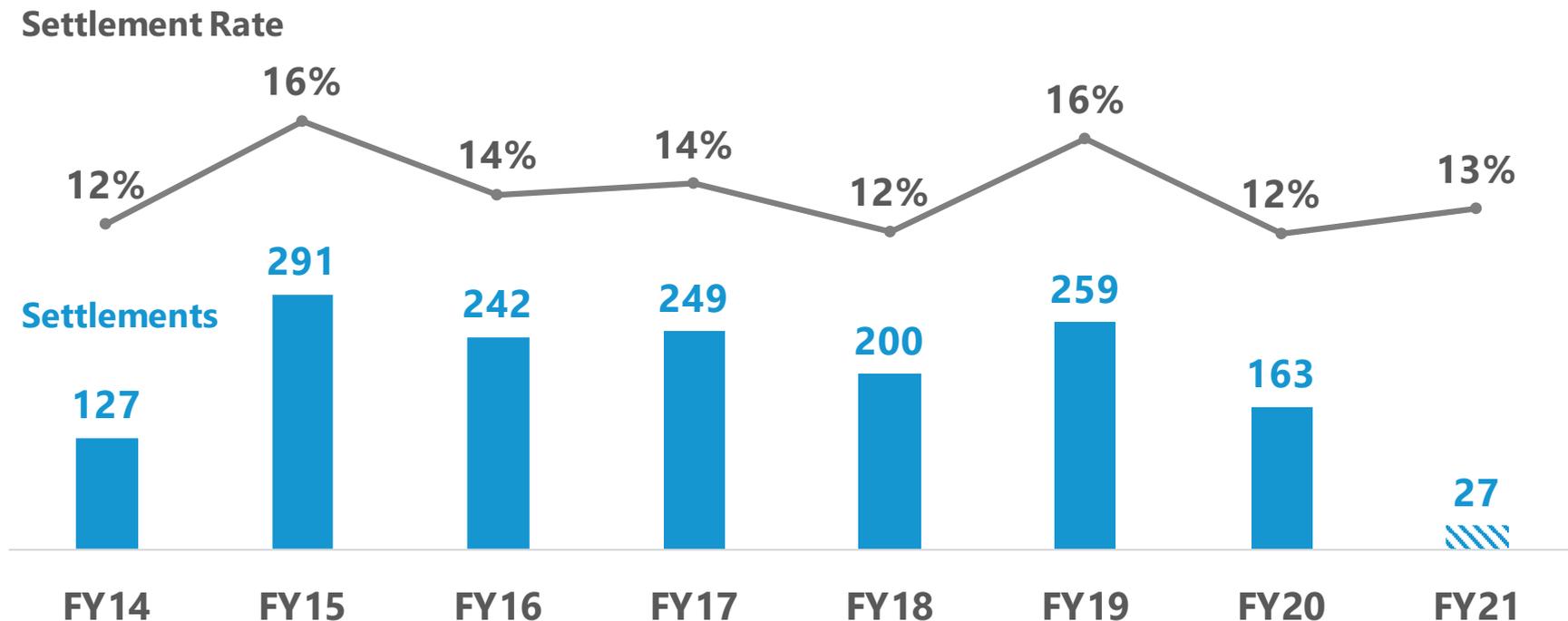
(All Time: Sept. 16, 2012 to Nov. 30, 2020)



Institution rate for each technology is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.

Pre-Institution Settlements

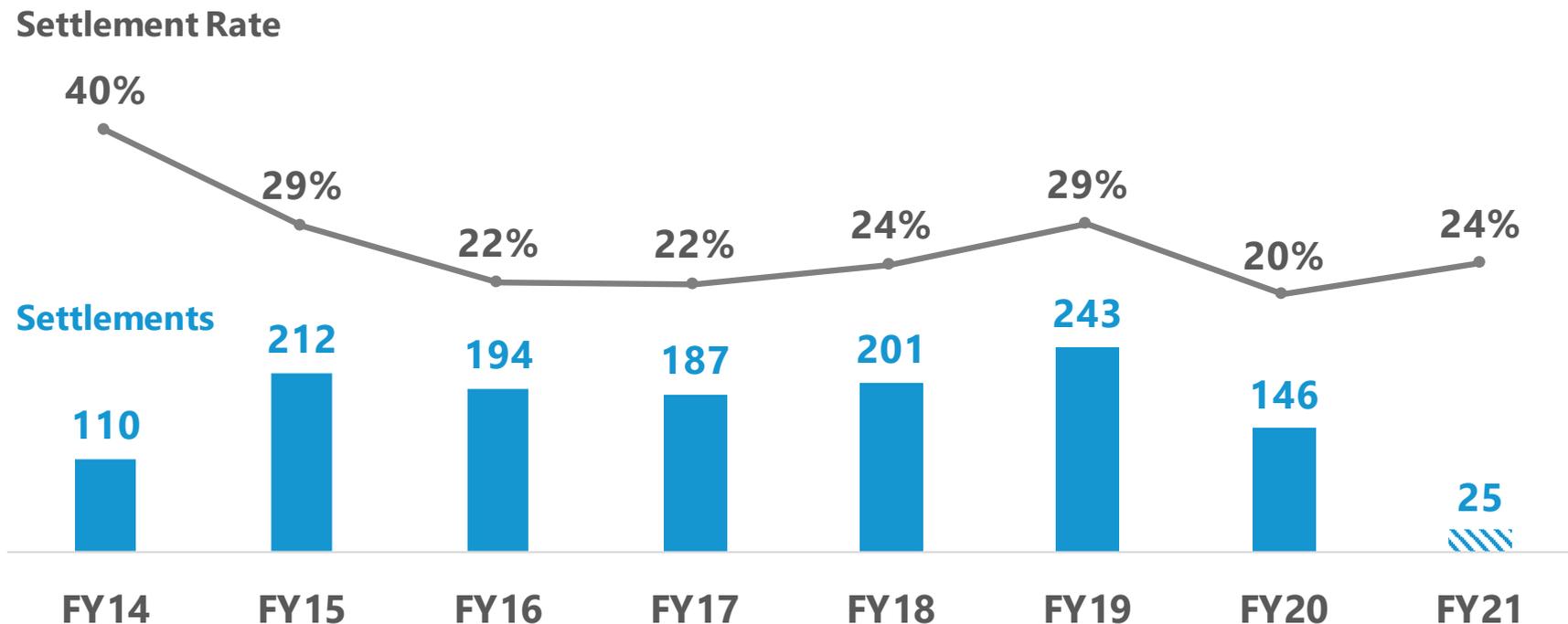
(FY14 to FY21: Oct. 1, 2013 to Nov. 30, 2020)



Settlement rate for each year is calculated by dividing pre-institution settlements by the sum of proceedings instituted, denied institution, dismissed, terminated with a request for adverse judgment, and settled before decision on institution.

Post-Institution Settlements

(FY14 to FY21: Oct. 1, 2013 to Nov. 30, 2020)



Settlement rate for each year is calculated by dividing post-institution settlements by proceedings terminated post-institution (i.e., settled, dismissed, terminated with a request for adverse judgment, and final written decision), excluding joined cases.

